

# Antipsychotics in Adults With Schizophrenia: Comparative Effectiveness of First-Generation Versus Second-Generation Medications

## A Systematic Review and Meta-analysis

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**Background:** Debate continues about the comparative benefits and harms of first-generation antipsychotics (FGAs) and second-generation antipsychotics (SGAs) in treating schizophrenia.

**Purpose:** To compare the effects of FGAs with those of SGAs in the treatment of adults aged 18 to 64 years with schizophrenia and related psychosis on illness symptoms, diabetes mellitus, mortality, tardive dyskinesia, and a major metabolic syndrome.

**Data Sources:** English-language studies from 10 electronic databases to March 2012, reference lists of relevant articles, and gray literature.

**Study Selection:** Randomized trials for efficacy and cohort studies at least 2 years in duration for adverse events.

**Data Extraction:** Two independent reviewers extracted data from 114 studies involving 22 comparisons and graded the strength of evidence for primary outcomes as insufficient, low, moderate, or high using the Grading of Recommendations Assessment, Development and Evaluation approach.

**Data Synthesis:** Few differences of clinical importance were found for core illness symptoms; lack of precision in effect estimates precluded firm conclusions for many comparisons. Moderate-strength evidence showed a clinically important benefit of haloperidol over olanzapine for improving positive symptoms, but the benefit was scale-dependent: It was seen when the Scale for the Assessment of Positive Symptoms was used but not when the Positive and Negative Syndrome Scale (PANSS) was used. Moderate-

strength evidence showed a clinically important benefit of olanzapine over haloperidol in improving negative symptoms when the PANSS and the Scale for the Assessment of Negative Symptoms were used. Low-strength evidence showed no difference in mortality for chlorpromazine versus clozapine or haloperidol versus aripiprazole, increased incidence of the metabolic syndrome for olanzapine versus haloperidol (risk differences, 2% and 22%), and higher incidence of tardive dyskinesia for chlorpromazine versus clozapine (risk differences, 5% and 9%). Evidence was insufficient to draw conclusions for diabetes mellitus.

**Limitations:** All studies had high or unclear risk of bias. Length of study follow-up was often too brief to adequately measure adverse events. Medication comparisons, dosage, and outcome measurement were heterogeneous for head-to-head comparisons. Selective patient populations limit generalizability.

**Conclusion:** Clear benefits of FGAs versus SGAs for treating schizophrenia remain inconclusive because of variation in assessing outcomes and lack of clinically important differences for most comparisons. The strength of evidence on safety for major medical events is low or insufficient.

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The introduction of second-generation antipsychotics (SGAs) for treatment of schizophrenia was an important effort to improve symptom management, reduce extrapyramidal symptoms caused by first-generation antipsychotics (FGAs), and offer patients improved quality of life and functioning. Today, 20 commercial FGAs and SGAs that have been approved by the U.S. Food and Drug Administration (FDA) are available in the United States (Appendix Table 1, available at [www.annals.org](http://www.annals.org)). Of these, SGAs are more frequently prescribed by physicians. In 2003, three quarters of the 2 million adult patients in the United States who were prescribed an antipsychotic medication were prescribed an SGA, which accounted for 93% of the estimated \$2.82 billion spent on these medications in the United States (1).

Recent large-scale trials and meta-analyses have called into question whether SGAs and FGAs provide clinically important differences for patient outcomes (1–3), and the question of which medication is more efficacious has yet to

be definitively answered. Part of the uncertainty about medication efficacy relates to the lack of studies focused on long-term management. Such issues as how patient management should be influenced by medication heterogeneity within the 2 classes also add ambiguity for physician decision making (1, 4–6), as do differences between recently published reviews in defining eligible medication comparisons, patients, and clinically important outcomes and evaluating the strength of evidence (1, 7–19).

This comparative effectiveness review summarizes the benefits and harms associated with commercially available, FDA-approved FGAs and SGAs. Broad inclusion criteria were used for comparisons among FGAs and SGAs, patients, and study outcomes to address the diversity of previously published reviews.

## METHODS

We followed an open process for this review with input from various stakeholders, including the public (20),

and a protocol that followed standards for systematic reviews (21–23). A full technical report with detailed search strategies, methods, and evidence tables is available from the Agency for Healthcare Research and Quality (21).

### Literature Search

We conducted comprehensive searches in MEDLINE (Appendix Table 2, available at [www.annals.org](http://www.annals.org)), EMBASE, PsycINFO, International Pharmaceutical Abstracts, CINAHL, ProQuest Dissertations and Theses—Full Text, the Cochrane Central Register of Controlled Trials, and Scopus for studies published from 1950 to March 2012. For adverse events, we also searched the U.S. National Library of Medicine's TOXLINE and the MedEffect Canada Adverse Reaction Database.

We hand-searched proceedings from the annual meetings of the American Psychiatric Association (2008–2010) and the International College of Neuropsychopharmacology (2008–2010). We searched clinical trial registries and contacted experts in the field and authors of relevant studies. We retrieved new drug applications for each of the included interventions from the FDA Web site. We reviewed the reference lists of reviews, guidelines, and new drug applications and searched for articles citing relevant studies using Scopus Citation Tracker.

### Study Selection

Two reviewers independently screened titles and abstracts. We retrieved the full text of potentially relevant studies. Two reviewers independently reviewed each article using a standardized form with a priori eligibility criteria (Appendix Table 3, available at [www.annals.org](http://www.annals.org)). We resolved discrepancies through discussion or third-party adjudication. We included studies if they were randomized, controlled trials (RCTs); were nonrandomized, controlled trials (non-RCTs); were cohort studies with a minimum follow-up of 2 years; included adults aged 18 to 64 years with schizophrenia or related psychoses; compared a commercially available FDA-approved FGA with an FDA-approved SGA; and provided data on illness symptoms (Appendix Table 4, available at [www.annals.org](http://www.annals.org)) or the following adverse events: diabetes mellitus, death, tardive dyskinesia, or a major metabolic syndrome.

### Quality Assessment and Rating the Body of Evidence

Two reviewers independently assessed the methodological quality of included studies and resolved disagreements through discussion. We assessed RCTs and non-RCTs using the Cochrane Risk of Bias Tool (22) and cohort studies using the Newcastle–Ottawa Scale (24).

Two reviewers independently evaluated strength of evidence using the Grading of Recommendations Assessment, Development and Evaluation approach of the Evidence-based Practice Center Program and resolved discrepancies through discussion (25). We examined 4 domains: risk of bias, consistency, directness, and precision. Within the grading system, randomized trials always begin with a “high” strength of evidence that can be downgraded

on the basis of shortcomings in the body of evidence (for example, overall risk of bias, inconsistency between study results, indirectness of the measured outcomes, and imprecision of the pooled estimate). In contrast, observational studies (for example, cohort studies) begin with a “low” strength of evidence that can be further downgraded (similar to randomized trials) but can also, in rare cases, be upgraded. We assigned an overall grade of “high,” “moderate,” “low,” or “insufficient” strength of evidence. We graded core illness symptoms in the categories of positive symptoms, negative symptoms, general psychopathology, and global ratings or total scores (typically a compilation of positive and negative symptoms or general psychopathology, which included these symptoms plus mood states). We provided a grade for each scale that was reported in the relevant studies. We also graded the adverse events listed in the previous section.

### Data Extraction

Two reviewers independently extracted data using standardized forms and resolved discrepancies by referring to the original report. We extracted information on study characteristics, populations, interventions, outcomes, and results. Primary outcomes were improved core symptoms

**Figure. Summary of evidence search and selection.**

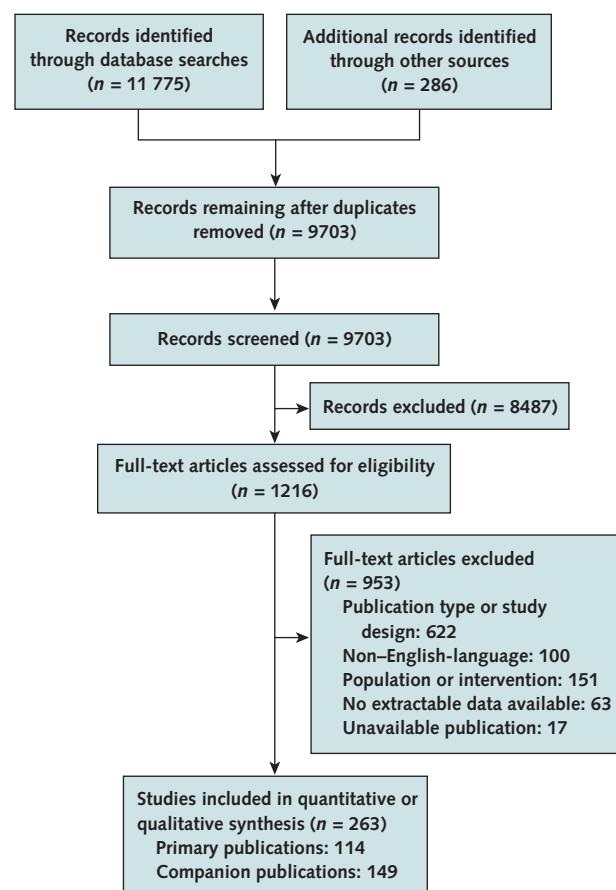


Table 1. Summary of Results and Strength of Evidence for Core Illness Symptoms\*

Variable, Scale, and Comparison	Studies (Participants), n (n)	Risk of Bias	Consistency	Precision	Mean Difference (95% CI)	Favored Drug	Strength of Evidence
<b>Positive symptoms</b>							
PANSS							
Haloperidol vs. risperidone	22 (4142)	Medium	Consistent	Precise	0.77 (0.09 to 1.45)†	Risperidone‡	Low§
Haloperidol vs. clozapine	3 (184)	Medium	Consistent	Imprecise	-0.82 (-2.21 to 0.57)	—	Low
Haloperidol vs. olanzapine	14 (3742)	Medium	Consistent	Imprecise	0.43 (-0.22 to 1.08)	—	Low
Haloperidol vs. quetiapine	3 (358)	Medium	Consistent	Imprecise	0.83 (-0.29 to 1.95)	—	Low
Haloperidol vs. aripiprazole	2 (407)	Medium	Consistent	Imprecise	-0.99 (-2.64 to 0.67)	—	Low
SAPS							
Haloperidol vs. olanzapine	2 (178)	Medium	Consistent	Precise	-3.14 (-4.90 to -1.37)†	Haloperidol	Moderate
Haloperidol vs. risperidone	2 (195)	Medium	Consistent	Imprecise	-0.26 (-1.90 to 1.38)	—	Low
<b>Negative symptoms</b>							
PANSS							
Haloperidol vs. olanzapine	14 (3742)	Medium	Consistent	Precise	1.06 (0.46 to 1.67)†	Olanzapine	Moderate
Haloperidol vs. aripiprazole	3 (1701)	Medium	Consistent	Precise	0.80 (0.14 to 1.46)†	Aripiprazole‡	Moderate
Haloperidol vs. risperidone	22 (4142)	Medium	Consistent	Precise	0.61 (0.07 to 1.16)†	Risperidone‡	Moderate
Haloperidol vs. clozapine	3 (184)	Medium	Consistent	Imprecise	0.28 (-0.96 to 1.51)	—	Low
Haloperidol vs. quetiapine	3 (358)	Medium	Consistent	Imprecise	0.53 (-0.81 to 1.87)	—	Low
Haloperidol vs. ziprasidone	2 (900)	Medium	Consistent	Imprecise	0.56 (-0.30 to 1.42)	—	Low
SANS							
Haloperidol vs. olanzapine	5 (535)	Medium	Consistent	Precise	2.56 (0.94 to 4.18)†	Olanzapine	Moderate
Haloperidol vs. risperidone	4 (508)	Medium	Consistent	Imprecise	0.30 (-2.79 to 3.38)	—	Low
Haloperidol vs. clozapine	2 (157)	Medium	Consistent	Imprecise	0.94 (-2.60 to 4.48)	—	Low
<b>Global ratings and total scores</b>							
PANSS							
Haloperidol vs. risperidone	21 (4020)	Medium	Consistent	Precise	3.24 (1.62 to 4.86)	Risperidone	Moderate
Haloperidol vs. olanzapine	15 (4209)	Medium	Consistent	Precise	2.31 (0.44 to 4.18)†	Olanzapine	Moderate
Haloperidol vs. clozapine	4 (607)	Medium	Consistent	Imprecise	2.69 (-1.28 to 6.65)	—	Low
Haloperidol vs. quetiapine	5 (1013)	Medium	Consistent	Imprecise	0.31 (-2.34 to 2.96)	—	Low
Haloperidol vs. ziprasidone	4 (1105)	Medium	Consistent	Imprecise	1.22 (-0.62 to 3.07)	—	Low
BPRS							
Chlorpromazine vs. clozapine	6 (535)	Medium	Consistent	Precise	8.40 (5.92 to 10.88)†	Clozapine	Moderate
Haloperidol vs. aripiprazole	3 (779)	Medium	Consistent	Imprecise	-0.01 (-2.82 to 2.81)	—	Low
Haloperidol vs. risperidone	14 (2659)	Medium	Consistent	Imprecise	0.67 (-0.53 to 1.88)	—	Low
Haloperidol vs. quetiapine	4 (756)	Medium	Consistent	Imprecise	1.23 (-0.50 to 2.96)	—	Low
Haloperidol vs. clozapine	4 (268)	Medium	Consistent	Imprecise	2.16 (-0.56 to 4.87)	—	Low
Haloperidol vs. olanzapine	13 (4014)	Medium	Consistent	Imprecise	0.19 (-2.09 to 2.47)	—	Low
Haloperidol vs. ziprasidone	4 (1078)	Medium	Consistent	Imprecise	0.24 (-0.57 to 1.06)	—	Low
CGI-S							
Haloperidol vs. olanzapine	8 (3564)	Medium	Consistent	Precise	0.16 (0.01 to 0.31)†	Olanzapine‡	Moderate
Haloperidol vs. quetiapine	4 (1253)	Medium	Consistent	Precise	-0.23 (-0.42 to -0.04)†	Haloperidol‡	Moderate
Haloperidol vs. aripiprazole	5 (1366)	Medium	Consistent	Imprecise	-0.03 (-0.20 to 0.14)	—	Low
Haloperidol vs. risperidone	8 (2348)	Medium	Consistent	Imprecise	0.07 (-0.11 to 0.25)	—	Low
Haloperidol vs. ziprasidone	4 (1143)	Medium	Consistent	Imprecise	-0.00 (-0.26 to 0.26)	—	Low
CGI-I							
Haloperidol vs. olanzapine	2 (281)	Medium	Consistent	Imprecise	0.11 (-0.30 to 0.51)	—	Low
Haloperidol vs. quetiapine	3 (623)	Medium	Consistent	Imprecise	0.02 (-0.24 to 0.27)	—	Low
Haloperidol vs. risperidone	3 (657)	Medium	Consistent	Imprecise	-0.02 (-0.39 to 0.36)	—	Low
GAF							
Haloperidol vs. ziprasidone	3 (1085)	Medium	Consistent	Imprecise	0.30 (-1.58 to 2.19)	—	Low
<b>General psychopathology</b>							
PANSS							
Haloperidol vs. clozapine	3 (184)	Medium	Consistent	Imprecise	1.77 (-2.99 to 6.53)	—	Low
Haloperidol vs. olanzapine	10 (1187)	Medium	Consistent	Imprecise	0.53 (-1.20 to 2.25)	—	Low
Haloperidol vs. quetiapine	3 (358)	Medium	Consistent	Imprecise	1.55 (-0.29 to 3.38)	—	Low
Haloperidol vs. risperidone	16 (3036)	Medium	Consistent	Imprecise	0.87 (-0.48 to 2.21)	—	Low
HAM-D							
Haloperidol vs. olanzapine	3 (209)	Medium	Consistent	Imprecise	1.14 (-0.60 to 2.89)	—	Low
Haloperidol vs. risperidone	2 (408)	Medium	Consistent	Imprecise	-0.64 (-1.97 to 0.69)	—	Low
HAM-A							
Haloperidol vs. olanzapine	2 (283)	Medium	Consistent	Imprecise	0.90 (-0.43 to 2.23)	—	Low
MADRS							
Haloperidol vs. olanzapine	6 (2639)	Medium	Consistent	Precise	2.46 (1.78 to 3.14)†	Olanzapine	Moderate

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Table 1—Continued

Variable, Scale, and Comparison	Studies (Participants), n (n)	Risk of Bias	Consistency	Precision	Mean Difference (95% CI)	Favored Drug	Strength of Evidence
CDSS							
Haloperidol vs. olanzapine	3 (344)	Medium	Consistent	Imprecise	0.61 (−0.47 to 1.68)	—	Low
Haloperidol vs. quetiapine	2 (232)	Medium	Consistent	Imprecise	0.03 (−0.52 to 0.58)	—	Low
Haloperidol vs. risperidone	3 (485)	Medium	Consistent	Imprecise	−0.24 (−0.94 to 0.46)	—	Low
ABS							
Haloperidol vs. olanzapine	2 (482)	Medium	Consistent	Imprecise	0.80 (−1.22 to 2.83)	—	Low
ACES							
Haloperidol vs. olanzapine	2 (482)	Medium	Consistent	Imprecise	0.06 (−0.40 to 0.53)	—	Low
YMRS							
Haloperidol vs. risperidone	2 (408)	Medium	Consistent	Imprecise	0.02 (−0.67 to 0.71)	—	Low

ABS = Agitated Behavior Scale; ACES = Agitation–Calmness Evaluation Scale; BPRS = Brief Psychiatric Rating Scale; CDSS = Calgary Depression Scale for Schizophrenia; CGI-I = Clinical Global Impression—Improvement; CGI-S = Clinical Global Impression—Severity; GAF = Global Assessment of Functioning; HAM-A = Hamilton Rating Scale for Anxiety; HAM-D = Hamilton Rating Scale for Depression; MADRS = Montgomery–Asberg Depression Rating Scale; PANSS = Positive and Negative Syndrome Scale; SANS = Scale for the Assessment of Negative Symptoms; SAPS = Scale for the Assessment of Positive Symptoms; YMRS = Young Mania Rating Scale.

\* All trials provided results from direct comparisons.

† Statistically significant result.

‡ Result was not clinically important (difference <20%).

§ Downgraded from moderate to low for publication bias.

|| Statistically significant result with outlier removed.

of illness (positive and negative symptoms and general psychopathology) and 4 adverse events specified a priori. Secondary outcomes included functional outcomes; health care system use; response, remission, and relapse rates and medication adherence; health-related quality of life; other patient-oriented outcomes (for example, patient satisfaction); and general and specific measures of other adverse events (for example, extrapyramidal symptoms and weight gain).

When studies incorporated multiple relevant treatment groups or multiple follow-up periods, we extracted data from all groups for the longest follow-up period. In cases of multiple reports of the same study, we referenced the primary, or most relevant, study and extracted additional data from companion reports.

## Data Analysis

We conducted meta-analyses in RevMan, version 5.01 (The Cochrane Collaboration, Nordic Cochrane Centre, Copenhagen, Denmark), using a random-effects model (26) when studies were sufficiently similar in terms of design, population, interventions, and outcomes. We combined risk ratios for dichotomous outcomes using the DerSimonian and Laird random-effects model and combined continuous outcomes using mean differences with 95% CIs. We quantified statistical heterogeneity using the  $I^2$  statistic. For trials with multiple study groups, we pooled the data for all relevant groups in the same trial before including the study in any meta-analysis so that the same groups were never represented more than once in any given meta-analysis. Where measures of variance were not reported in the studies, we imputed the variance from the largest reported SD in the given meta-analysis.

We conducted subgroup and sensitivity analyses for illness or disorder subtypes, sex, age group (18 to 35 years,

36 to 54 years, and 55 to 64 years), race, comorbid conditions, drug dosage, follow-up period, previous exposure to antipsychotics, treatment of a first episode versus prior episodes, and treatment resistance. Details of these analyses are presented in the appendices to the full technical report. We report subgroup and sensitivity analyses if there was substantial heterogeneity ( $I^2 \geq 50\%$ ). For comparisons with at least 10 studies, we assessed publication bias using funnel plots and statistical tests (27–29). For our primary outcome of core symptoms, we considered a difference of 20% to be clinically important (7, 30). We calculated absolute differences (that is, risk differences) for adverse events to enhance interpretation of results.

## Role of the Funding Source

The Agency for Healthcare Research and Quality suggested the initial questions and approved copyright assertion for the manuscript but did not participate in the literature search, data analysis, or interpretation of the results.

## RESULTS

A total of 9703 unique study reports were identified; we included 114 primary publications (2, 31–143) (110 RCTs, 2 non-RCTs, and 2 retrospective cohort studies) and 149 companion publications (Figure). The studies were published between 1974 and 2012 and involved 22 drug comparisons. Most studies were multicenter (54%), involved inpatients (48%), and were conducted in North America (42%). The number of participants ranged from 10 to 118 522 (median, 78; interquartile range, 38 to 296). The average participant age ranged from 21 to 50 years (median, 37 years; interquartile range, 32 to 40 years). The length of follow-up (that is, study duration) ranged from less than 1 day to 4 years (median, 8 weeks;

Table 2. Summary of Results for Other Outcomes

Variable and Comparison	Events/Participants, n/N*		Effect Estimate (95% CI)
	FGAs	SGAs	
<b>Medication adherence</b>			
Chlorpromazine vs. clozapine	8/83	21/81	RR, 0.37 (0.17 to 0.79)†
Haloperidol vs. aripiprazole‡	0/33	1/66	RR, 0.66 (0.03 to 15.70)
Haloperidol vs. olanzapine	99/153	127/214	RR, 1.12 (0.86 to 1.46)
Haloperidol vs. risperidone	283/361	307/419	RR, 1.04 (0.89 to 1.21)
<b>Time to all-cause medication discontinuation</b>			
Perphenazine vs. olanzapine	48	229	MD, -78.70 (-119.34 to -38.06)†
Perphenazine vs. risperidone	48	221	MD, -33.40 (-75.18 to 8.38)
<b>Response rates§</b>			
Chlorpromazine vs. clozapine	6/169	48/154	RR, 0.13 (0.06 to 0.28)†
Chlorpromazine vs. olanzapine	0/42	3/42	RR, 0.14 (0.01 to 2.68)
Chlorpromazine vs. quetiapine	52/100	65/101	RR, 0.81 (0.64 to 1.02)
Chlorpromazine vs. ziprasidone	85/154	88/152	RR, 0.95 (0.78 to 1.16)
Haloperidol vs. olanzapine	747/1606	1312/2493	RR, 0.86 (0.78 to 0.96)†
Haloperidol vs. clozapine	23/87	43/91	RR, 0.52 (0.22 to 1.23)
Haloperidol vs. quetiapine	275/611	370/810	RR, 0.99 (0.76 to 1.30)
Haloperidol vs. risperidone	641/1113	1404/2374	RR, 0.94 (0.86 to 1.02)
Haloperidol vs. aripiprazole	374/816	652/1369	RR, 1.01 (0.76 to 1.34)
Haloperidol vs. asenapine	49/115	115/220	RR, 0.82 (0.64 to 1.04)
Haloperidol vs. ziprasidone	250/482	489/801	RR, 0.98 (0.74 to 1.30)
Fluphenazine vs. olanzapine	17/30	23/30	RR, 0.74 (0.51 to 1.07)
Fluphenazine vs. quetiapine	2/13	3/12	RR, 0.62 (0.12 to 3.07)
Fluphenazine vs. risperidone	2/13	3/13	RR, 0.67 (0.13 to 3.35)
Perphenazine vs. aripiprazole	36/146	40/154	RR, 0.95 (0.64 to 1.40)
<b>Remission rates</b>			
Chlorpromazine vs. clozapine	69/95	70/94	RR, 0.69 (0.23 to 2.06)
Haloperidol vs. olanzapine	89/291	133/291	RR, 0.65 (0.45 to 0.94)†
Haloperidol vs. clozapine	1/34	7/37	RR, 0.16 (0.02 to 1.20)
Haloperidol vs. quetiapine	17/103	24/104	RR, 0.72 (0.41 to 1.25)
Haloperidol vs. risperidone	28/87	36/92	RR, 0.84 (0.56 to 1.24)
Haloperidol vs. ziprasidone	99/407	199/678	RR, 0.89 (0.71 to 1.12)
<b>Relapse rates</b>			
Chlorpromazine vs. clozapine	11/83	13/81	RR, 0.83 (0.39 to 1.73)
Haloperidol vs. risperidone	244/704	179/701	RR, 1.35 (1.17 to 1.57)†
Haloperidol vs. clozapine	2/37	3/38	RR, 0.68 (0.12 to 3.87)
<b>Rates of hospitalization or rehospitalization</b>			
Chlorpromazine vs. clozapine	5/83	7/81	RR, 0.70 (0.23 to 2.11)
Haloperidol vs. olanzapine	14/103	18/105	RR, 0.79 (0.42 to 1.51)
Haloperidol vs. quetiapine	14/103	14/104	RR, 1.01 (0.51 to 2.01)
Haloperidol vs. risperidone	28/209	16/213	RR, 1.94 (0.99 to 3.79)
Haloperidol vs. ziprasidone	16/256	5/230	RR, 2.62 (0.99 to 6.97)
Perphenazine vs. olanzapine	41/261	38/336	RR, 1.39 (0.92 to 2.09)
Perphenazine vs. quetiapine	41/261	68/337	RR, 0.78 (0.55 to 1.11)
Perphenazine vs. risperidone	41/261	51/341	RR, 1.05 (0.72 to 1.53)
Perphenazine vs. ziprasidone	41/261	33/185	RR, 0.88 (0.58 to 1.34)
<b>Mean hospital bed days</b>			
Haloperidol vs. clozapine	218	205	MD, -7.10 (-19.02 to 4.82)
Haloperidol vs. olanzapine	150	159	MD, -7.10 (-20.95 to 6.75)
<b>Health-related quality of life</b>			
20% improvement			
Perphenazine vs. aripiprazole	31/146	55/154	RR, 0.59 (0.41 to 0.87)†
QLS			
Haloperidol vs. ziprasidone	151	448	MD, -12.12 (-22.06 to -2.17)†
Haloperidol vs. olanzapine	103	227	MD, -2.62 (-6.39 to 1.15)
Haloperidol vs. risperidone	30	33	MD, 0.10 (-0.17 to 0.37)
Perphenazine vs. olanzapine	261	336	MD, 0.00 (-0.16 to 0.16)
Perphenazine vs. quetiapine	261	337	MD, 0.10 (-0.07 to 0.27)
Perphenazine vs. risperidone	261	341	MD, -0.07 (-0.24 to 0.10)
Perphenazine vs. ziprasidone	261	185	MD, -0.07 (-0.27 to 0.13)

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Table 2—Continued

Variable and Comparison	Events/Participants, n/N*		Effect Estimate (95% CI)
	FGAs	SGAs	
<b>MANSA</b>			
Haloperidol vs. olanzapine	103	105	MD, 0.00 (-1.38 to 1.38)
Haloperidol vs. quetiapine	103	104	MD, 0.00 (-1.38 to 1.38)
Haloperidol vs. ziprasidone	103	82	MD, -0.10 (-1.48 to 1.28)
<b>LQLP</b>			
Haloperidol vs. risperidone	146	143	MD, 0.10 (-0.20 to 0.40)
<b>Schizophrenia-specific QLS</b>			
Haloperidol vs. olanzapine	132	144	MD, -3.62 (-8.94 to 1.70)
Other			
Haloperidol vs. olanzapine	10	17	MD, -2.05 (-25.81 to 21.71)
<b>Patient satisfaction</b>			
Haloperidol vs. aripiprazole	7/33	42/66	RR, 0.33 (0.17 to 0.66)†
Haloperidol vs. clozapine	9/17	11/17	RR, 0.82 (0.46 to 1.45)
Haloperidol vs. risperidone	11/33	17/34	RR, 0.67 (0.37 to 1.20)
<b>Caregiver satisfaction: haloperidol vs. aripiprazole</b>	6/33	38/66	RR, 0.32 (0.15 to 0.67)†
<b>Patients with paid employment in past month</b>			
Perphenazine vs. olanzapine	19/261	19/336	RR, 1.29 (0.70 to 2.38)
Perphenazine vs. quetiapine	19/261	14/337	RR, 1.75 (0.90 to 3.43)
Perphenazine vs. risperidone	19/261	18/341	RR, 1.38 (0.74 to 2.57)
Perphenazine vs. ziprasidone	19/261	11/185	RR, 1.22 (0.60 to 2.51)
<b>Sexual dysfunction</b>			
Fluphenazine vs. quetiapine	7/13	3/12	RR, 2.15 (0.72 to 6.48)
Fluphenazine vs. risperidone	7/13	5/13	RR, 1.40 (0.60 to 3.28)
Haloperidol vs. quetiapine	26/103	26/104	RR, 1.01 (0.63 to 1.62)
Haloperidol vs. olanzapine	27/159	34/160	RR, 0.81 (0.52 to 1.24)
Haloperidol vs. ziprasidone	26/103	30/82	RR, 0.69 (0.45 to 1.07)
Haloperidol vs. risperidone	1/76	5/84	RR, 0.30 (0.05 to 1.78)
<b>Alleviation of sexual dysfunction after treatment</b>			
Fluphenazine vs. quetiapine	1/13	2/12	RR, 0.46 (0.05 to 4.46)
Fluphenazine vs. risperidone	1/13	6/13	RR, 0.17 (0.02 to 1.20)
<b>Patient insight into illness: haloperidol vs. olanzapine</b>	132	131	MD, -1.10 (-3.95 to 1.75)
<b>Attitude about drugs: haloperidol vs. risperidone</b>	146	143	MD, -0.80 (-2.12 to 0.52)
<b>Economic independence: haloperidol vs. risperidone</b>	29/50	31/50	RR, 0.94 (0.68 to 1.29)
<b>Positive urine toxicology test result: haloperidol vs. olanzapine</b>	6/15	2/16	RR, 3.20 (0.76 to 13.46)

FGA = first-generation antipsychotic; LQLP = Lancashire Quality of Life Profile; MANSA = Manchester Short Assessment of Quality of Life; MD = mean difference; QLS = Quality-of-Life Scale; RR = risk ratio; SGA = second-generation antipsychotic.

\* For continuous outcomes, only the number of participants is presented.

† Statistically significant result that favored the SGA.

‡ The outcome in this comparison was low adherence.

§ The definition of “response rate” varied across studies (for example, a 50% reduction on the Positive and Negative Syndrome Scale and a 40% improvement on the Brief Psychiatric Rating Scale).

interquartile range, 6 to 26 weeks) for RCTs and non-RCTs; the cohort studies were 3 and 22 years in duration. The route of medication administration was primarily oral; intramuscular administration occurred in 10 studies (9%). Sixty-eight percent of studies were supported by the pharmaceutical industry.

None of the RCTs and non-RCTs had low risk of bias, 67% had unclear risk of bias, and 33% had high risk of bias. Trials were commonly assessed as having unclear risk of bias because of incomplete reporting of sequence generation, allocation concealment, and blinding methods.

The most common reasons for trials to be assessed as having high risk of bias were lack of blinding and inadequate handling or reporting of outcome data. Methodological quality of the cohort studies was good; both collected data retrospectively.

### Core Illness Symptoms

The findings for core illness symptoms are presented in Table 1. Comparisons and outcomes for which strength of evidence was insufficient (for example, evidence from single trials) to draw a conclusion are not displayed; these

Table 3. Summary of Results and Strength of Evidence for Key Adverse Events

Adverse Event and Comparison	Study Design	Study Duration	Studies (Participants), n (n)	Events/Participants, n/N	Events/Participants, n/N	Risk Difference (95% CI)	Risk Ratio (95% CI)
<b>Death</b>							
Chlorpromazine vs. clozapine	Overall	—	2 (214)	—	—	—	—
	RCT	208 wk	1 (50)	0/25	1/25	−0.04 (−0.14 to 0.06)	0.33 (0.01 to 7.81)
	RCT	12 mo	1 (164)	1/83	0/81	0.01 (−0.02 to 0.05)	2.93 (0.12 to 70.85)
Haloperidol vs. aripiprazole	Overall	—	2 (655)	—	—	—	—
	RCT	24 h	1 (360)	0/185	0/175	0.00 (−0.01 to 0.01)	NE
	RCT	24 h	1 (295)	0/60	2/235	−0.01 (−0.03 to 0.02)	0.77 (0.04 to 15.91)
<b>The metabolic syndrome</b>							
Haloperidol vs. olanzapine	Overall	—	2 (139)	—	—	—	—
	RCT	12 wk	1 (72)	4/36	5/37	−0.02 (−0.17 to 0.13)	0.82 (0.24 to 2.82)
	RCT	6 wk	1 (66)	1/31	9/35	−0.22 (−0.38 to −0.07)	0.13 (0.02 to 0.93)
<b>Tardive dyskinesia</b>							
Chlorpromazine vs. clozapine	Overall	—	2 (204)	—	—	—	—
	RCT	9 y	1 (164)	17/83	9/81	0.09 (−0.02 to 0.20)	1.84 (0.87 to 3.89)
	RCT	12 wk	1 (40)	1/19	0/21	0.05 (−0.08 to 0.18)	3.30 (0.14 to 76.46)

NE = not estimable; RCT = randomized, controlled trial.

results for the Positive and Negative Syndrome Scale (PANSS) are displayed in **Appendix Table 5** (available at [www.annals.org](http://www.annals.org)). The following sections describe the results for which there was at least low strength of evidence.

Two differences were found in positive symptom alleviation in comparisons of haloperidol with 5 SGAs, as measured by the PANSS and the Scale for the Assessment of Positive Symptoms. Low-strength evidence showed a benefit for risperidone compared with haloperidol on the PANSS; the difference was not considered clinically important, and there was indication of publication bias. Moderate-strength evidence showed a clinically important benefit of haloperidol over olanzapine on the Scale for the Assessment of Positive Symptoms (**Appendix Figure 1**, available at [www.annals.org](http://www.annals.org)). The low strength of evidence for all remaining comparisons was driven by lack of precision in effect estimates.

Evidence of benefit for treating negative symptoms with SGAs was stronger. Haloperidol was compared with 6 SGAs by using the PANSS and the Scale for the Assessment of Negative Symptoms. Moderate-strength evidence showed that olanzapine had a clinically important benefit compared with haloperidol for both scales (**Appendix Figure 2**, available at [www.annals.org](http://www.annals.org)), with no indication of publication bias. Risperidone also showed moderate-strength evidence of benefit compared with haloperidol on the PANSS, although results were not considered clinically important. There was also no indication of publication bias. Aripiprazole showed moderate-strength evidence of benefit compared with haloperidol, although the difference was not considered clinically important. Strength of evidence for haloperidol versus clozapine, quetiapine, and ziprasidone was low due to lack of precision in effect estimates.

There were few differences between FGAs and SGAs in global rating and total symptom score improvement. Moderate-strength evidence showed that olanzapine had a clinically important benefit compared with haloperidol on the PANSS (**Appendix Figure 3**, available at [www.annals.org](http://www.annals.org)), with no indication of publication bias. Olanzapine also showed a difference compared with haloperidol on the Clinical Global Impression—Severity scale, but it was not considered clinically important. Moderate-strength evidence showed a clinically important benefit of risperidone compared with haloperidol on the PANSS (**Appendix Figure 4**, available at [www.annals.org](http://www.annals.org)), although there was substantial heterogeneity ( $I^2 = 76\%$ ). When 1 outlier (significantly favoring haloperidol) was removed, heterogeneity decreased and results remained in favor of risperidone (**Appendix Figure 5**, available at [www.annals.org](http://www.annals.org)); there was no indication of publication bias. The outlying study ( $n = 100$ ) used a relatively small fixed dose of risperidone (2 mg/d), whereas most of the other studies used a range from 1 mg/d to 5 to 20 mg/d. Subgroup analyses by dosage showed less heterogeneity and more benefits for higher doses of risperidone (data in technical report). Moderate-strength evidence showed a benefit for haloperidol compared with quetiapine on the Clinical Global Impression—Severity scale, but the difference was not clinically important. Moderate-strength evidence showed a clinically important benefit for clozapine compared with chlorpromazine based on the total score from the Brief Psychiatric Rating Scale (**Appendix Figure 6**, available at [www.annals.org](http://www.annals.org)).

Haloperidol was compared with 4 SGAs, most commonly olanzapine, and results were reported for 8 scales assessing an overall change in general psychopathology. Moderate-strength evidence showed a difference for 1 of

Table 3—Continued

Risk of Bias	Consistency	Directness	Precision	Strength of Evidence
Medium	Consistent	Direct	Imprecise	Low
—	—	—	—	—
—	—	—	—	—
Medium	Consistent	Direct	Imprecise	Low
—	—	—	—	—
—	—	—	—	—
Medium	Consistent	Direct	Imprecise	Low
—	—	—	—	—
—	—	—	—	—
Medium	Consistent	Direct	Imprecise	Low
—	—	—	—	—
—	—	—	—	—

14 comparisons: Olanzapine showed a clinically important benefit on the Montgomery–Asberg Depression Rating Scale (Appendix Figure 7, available at [www.annals.org](http://www.annals.org)).

#### Response, Remission, and Relapse Rates and Medication Adherence

Findings for these outcomes are presented in Table 2 and were available for 17 head-to-head comparisons. A statistically significant difference in response rates was found favoring clozapine over chlorpromazine (3 studies) (75, 84, 91). Olanzapine was favored over haloperidol for remission (3 trials) (88, 144, 145) and response rates (14 trials) (40, 85, 88, 98, 101–103, 107, 112, 126, 135, 140, 144, 145). Risperidone was favored over haloperidol for relapse rates (6 trials) (63, 67, 110, 115, 127, 130). Olanzapine was favored over perphenazine for time to all-cause medication discontinuation (37). Clozapine was favored over chlorpromazine for medication adherence (77). These last 2 findings are based on single studies and should be interpreted with caution.

#### Patient-Oriented Outcomes and Health Care System Use

Patient-oriented outcomes broadly refer to functional outcomes (for example, sexual dysfunction, employment, and economic independence) and outcomes that are important to patients (for example, health-related quality of life). Results for functional outcomes were available for 9 head-to-head comparisons (Table 2), with no statistically significant differences in any comparisons. In terms of health-related quality of life, aripiprazole compared with perphenazine showed 20% improvement (1 trial) (90), and ziprasidone compared with haloperidol showed benefits on the Quality-of-Life Scale (1 trial) (118). Statistically significant differences were found favoring aripiprazole over haloperidol for caregiver satisfaction (1 trial) (66) and patient satisfaction (1 trial) (66). Results for health care system use

were available for 10 head-to-head comparisons, with no statistically significant differences for any comparison (Table 2). Some of the results described in this section and Table 2 are based on single trials and should be interpreted with caution.

#### Medication-Associated Adverse Events and Safety

For the 4 key adverse events, the strength of evidence was insufficient to draw conclusions for most comparisons (Appendix Table 6, available at [www.annals.org](http://www.annals.org)). Two trials each provided data on mortality for chlorpromazine versus clozapine (105, 106) and haloperidol versus aripiprazole (Table 3) (34, 136). Absolute differences were small, ranging from 1% to 4% and 0% to 1%, respectively. The length of follow-up (that is, duration) of the trials for the latter comparison was only 24 hours, and the drug was administered via intramuscular injection in both studies. Low-strength evidence showed a higher incidence of the metabolic syndrome for olanzapine than for haloperidol; risk differences were 2% and 22%, respectively, in the 2 relevant studies (88, 102). Low-strength evidence showed a higher incidence of tardive dyskinesia for chlorpromazine than for clozapine; risk differences were 5% and 9% at 12 weeks and 9 years, respectively (77, 84). Across all studies involving adverse events, the strength of evidence was driven by lack of precision in the estimates of effect because of the small numbers of participants studied and events observed.

Data were also recorded for general measures of adverse events and specific adverse events by physiologic system; extrapyramidal symptoms were the most frequently reported event (detailed data and analyses available in technical report). For general measures of adverse events, statistically significant differences were found in the incidence of adverse events and withdrawals due to adverse events for several comparisons. The comparison usually included haloperidol, and the risk was consistently higher with the FGA.

#### DISCUSSION

Despite FGAs and SGAs being a mainstay in the treatment of schizophrenia in adults, questions remain about whether and how the various commercially available medications differ in efficacy and safety profiles (1–6). This review provides a comprehensive synthesis of the evidence on the comparative benefits and harms of FDA-approved FGAs and SGAs. We used a broad approach to inclusion criteria for comparisons, patients, and study outcomes to bring together the diversity of previously published reviews and provide a broader perspective on evidence in the field (1, 7–19).

We identified a large number of relevant studies (114 studies and 22 different comparisons), the majority of which were efficacy trials (146). The most frequent comparisons involved haloperidol and risperidone (40 studies) or olanzapine (35 studies); however, the number of studies

available for each comparison and outcome was often limited.

Overall, we found few differences of clinical importance between the active drugs; however, this does not imply that they are equivalent. The strength of evidence from these studies was generally low or insufficient, with considerable variation in scales and subscales used to measure symptoms. This heterogeneity, coupled with the small number of studies within specific comparisons, suggests that there is insufficient power to explain some of the negative findings and precludes firm conclusions that are needed for front-line clinical decision making.

At this time, evidence supporting the use of SGAs for negative symptoms is stronger than that supporting their use for positive symptoms; olanzapine and risperidone were found to be more efficacious than haloperidol in reducing such symptoms as blunted affect and withdrawal. This effect, however, was not observed for improving overall (global) functioning and general psychopathology. Contrary to recent reviews (7, 8), we found no evidence of benefit in improving symptoms with clozapine compared with haloperidol, although moderate-strength evidence showed benefits for clozapine compared with chlorpromazine. Differences in study inclusion criteria between our review and previously published reviews probably account for the different outcomes, with our review including more studies from which to base conclusions. In light of the totality of evidence in this review, the ample low-quality evidence showing no difference between haloperidol and various SGAs in improving symptoms provides an inadequate evidence base to advocate for one medication over another.

The data for adverse events were of low to insufficient strength, suggesting the need for a more focused evaluation of drug safety. Despite our efforts to identify long-term safety data from observational studies, only 2 retrospective cohort studies provided follow-up data at least 2 years in duration. Short-term efficacy trials, which are accepted by the regulatory authorities, may not identify time-dependent adverse events, such as tardive dyskinesia, diabetes mellitus, the metabolic syndrome, or death. Although few studies measured mortality, some evidence suggests that treatment with FGAs or SGAs is no different after immediate use (within 24 hours) or long-term use (>12 months). The strength of evidence for other mortality-related outcomes (such as suicide-related behaviors, which is a risk in this clinical population) (147–149) was insufficient to draw conclusions.

We found low-strength evidence for an increased incidence of the metabolic syndrome with use of olanzapine. In general, most studies showed no difference between FGAs and SGAs in terms of increased risk for the metabolic syndrome or diabetes mellitus; however, the strength of evidence was usually insufficient. Although the methodological and reporting limitations of these studies make

conclusions about these outcomes premature (150), several reviews have identified clozapine and olanzapine as contributing to greater weight gain (7, 151–153), but this may not necessarily translate into increased risk for more severe outcomes. Further study of this trajectory is warranted with higher-quality longitudinal studies.

Our results are consistent with those of CATIE (Clinical Antipsychotic Trials of Intervention Effectiveness) (2), a widely cited trial in this field. CATIE was designed to evaluate whether FGAs were inferior to SGAs in efficacy and safety. Findings from CATIE suggested that the FGA perphenazine and various SGAs (olanzapine, quetiapine, risperidone, and ziprasidone) differed more in their adverse effect profiles than in their therapeutic effect profiles. The study, like this review, also showed that effectiveness across medications varied and that the difference was clinically important in some cases.

Our results are also similar to those of a recent systematic review of SGAs versus FGAs, although our review is broader in scope in terms of medications included, patient populations, and outcomes (1). There were several methodological differences between the previous review and this one: The previous review included non-FDA-approved antipsychotics, restricted the analysis to only double-blind trials, included only studies examining optimum SGA dosage and oral route of administration, pooled data across efficacy outcome measures, and pooled different FGAs. The different methodologies may have led to slightly different conclusions about individual SGAs.

One of the unique features of our review is the strength-of-evidence assessments, which provide information on the level of confidence one can place on the results of existing studies. In most cases, the strength of evidence was insufficient or low, highlighting the likelihood that future research may change the estimates of effect and the need for a stronger evidence base to inform clinical practice. Current treatment guidelines from the American Psychiatric Association for patients with schizophrenia provide specific recommendations on medication timing (for example, acute phase or first episode) but broad variables for medication options (154). This approach may reflect the current state of evidence for FGAs and SGAs, and as stronger evidence emerges, it may come to reflect more specific recommendations for prescribing physicians.

There were limitations in the design and quality of the primary studies. Most studies were short-term RCTs, often with an a priori hypothesis that the SGA would be more efficacious (155). Most trials did not sufficiently report methods to prevent selection and performance bias. Few trials reported blinding study investigators and participants; single-blinded and open-label trials in this field have been found to favor SGAs over FGAs (1). Furthermore, the individual studies and, in many cases, the pooled results may not have sufficient power to detect equivalence or noninferiority between drugs.

Most studies in this review were industry-funded (69%), which can increase the chance of proindustry findings (156). Funding was not disclosed for 19% of studies, highlighting the need for transparency in reporting the nature and extent of financial support. The choice of medication comparisons, dosages, and outcomes in the studies included in this review may have been driven by the funder's interests and priorities. Publication and reporting of select comparisons and outcomes are other potential limitations of this body of evidence.

Few studies provided evidence for comparable patient populations. We found notable heterogeneity across studies for disorder subtypes, comorbid drug or alcohol use, treatment resistance, and number of previous episodes, which result in differential response to treatment. Furthermore, many studies were highly selective in patient enrollment, which may increase the likelihood of drug benefit and decrease the likelihood of adverse events. Detailed subgroup analyses are reported elsewhere (21). Characteristics of the research, including drug dosages (for example, lower doses of FGAs in more recent studies) and patient populations (for example, fewer patients already exposed to FGAs or proven treatment resistance to FGAs in recent studies), also changed over time. Finally, differences in medication comparisons and dosage and outcome measurement limited our synthesis, and outcomes that are important for understanding medication adherence and persistence (a common clinical encounter in this patient population), such as sedation and restlessness, were rarely reported.

More longitudinal research is needed on the long-term safety of FGAs versus SGAs. Despite our efforts to identify long-term safety data from observational studies, only 2 retrospective cohort studies were identified. Consensus is needed on the most important comparisons between FGAs and SGAs for future studies. Short- and long-term evaluations with patient subpopulations, including those with medical and neurologic comorbid conditions, are needed. There is a need for studies investigating the influence of dose, age, and other factors, such as comorbid conditions, on serious adverse events, which would help estimate possible risks in specific patient populations. Future studies should also examine functional outcomes that are important to patients, including health-related quality of life, relationships, academic and occupational performance, and legal interactions.

Existing studies on the comparative effectiveness of individual FGAs and SGAs preclude drawing firm conclusions because of sparse data and imprecise effect estimates. There were relatively few differences of clinical importance among 114 studies. The current evidence base is inadequate for clinicians and patients to make informed decisions about treatment. Outcomes potentially important to patients were rarely assessed. Data on long-term safety are lacking and urgently needed.

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**Appendix Table 1. Antipsychotics Included in the Systematic Review**

Generic Name	Trade Name	Mode of Administration	Recommended Dose	FDA Status	Indications	Drug Cost per Minimum Dose, U.S. \$*
<b>First-generation antipsychotics</b>						
Chlorpromazine	Chlorpromazine hydrochloride	Oral; intramuscular/ intravenous	200 to 600 mg/d	Approved in 1974	Schizophrenia and BD	1.90/200 mg
Droperidol	Inapsine	Intramuscular/intravenous	Initial 2.5 mg/dose	Approved in 1988	Antiemetic and acute psychosis	1.84/1 mg
Fluphenazine	Fluphenazine decanoate	Oral	2.5 to 10 mg/d	Approved in 1960	Schizophrenia and BD	0.26/2.5 mg
Haloperidol	Fluphenazine hydrochloride	Intramuscular	2.5 to 10 mg/dose			
	Haldol	Oral	4 to 12 mg/d	Approved in 1986	Schizophrenia	0.44/4 mg
	Haloperidol decanoate	Intramuscular				
Loxapine	Loxapine	Oral	60 to 100 mg/d	Approved in 1975	Schizophrenia	1.55/60 mg
Perphenazine	Perphenazine	Oral (nonhospitalized)	12 to 18 mg/d	Approved in 1965	Schizophrenia	1.80/16 mg
		Oral (hospitalized)	16 to 64 mg/d			
Pimozide	Orap	Oral	7 to 10 mg/d	Approved in 1984	Schizophrenia	6.40/7 mg
Prochlorperazine	Compro	Oral	15 to 40 mg/d	Approved in 1956	Schizophrenia	1.40/15 mg
	Prochlorperazine edisylate	Intramuscular	15 to 40 mg/d			
	Prochlorperazine maleate	Intravenous	7.5 to 40 mg/d			
Thioridazine	Mellaril	Oral	150 to 300 mg/d	Approved in 1962	Schizophrenia	1.20/150 mg
Thiothixene	Navane	Oral	6 to 30 mg/d	Approved in 1967	Schizophrenia	1.00/6 mg
	Trifluoperazine hydrochloride	Oral (nonhospitalized)	1 to 2 mg	Approved in 1959	Schizophrenia	0.31/1 mg
<b>Second-generation antipsychotics</b>						
Aripiprazole	Ability	Oral	10 to 15 mg/d	Approved in 2002	Schizophrenia	6.56/10 mg
		Injection	Maximum of 30 mg/d	Approved in 2004	BD	
Asenapine	Saphris	Oral	Schizophrenia, 5 mg	Approved in 2009	Acute schizophrenia and BD	10.80/10 mg
Clozapine	Clozaril	Oral	BD, 10 mg			
			300 to 450 mg/d	Approved in 1989	Treatment-resistant schizophrenia	2.23/300 mg
Iloperidone	Fanapt	Oral	12 to 24 mg/d			
Olanzapine	Zyprexa	Oral; intramuscular injection	Schizophrenia, 10 mg/d	Approved in 2009	Acute schizophrenia	4.50/12 mg
			BD I, 10 to 15 mg/d	Approved in 1996	Schizophrenia and BD	9.00/10 mg
Lurasidone	Latuda	Oral	40 to 80 mg/d	Approved in 2010	Schizophrenia	17.8/40 mg
Paliperidone	Invega	Oral	6 mg/d	Approved in 2006	Schizophrenia and schizoaffective disorder	9.78/6 mg
Quetiapine	Seroquel	Oral	Schizophrenia, 150 to 750 mg/d	Approved in 1997	Schizophrenia	2.78/150 mg
			BD, 400 to 800 mg/d	Approved in 2004	BD	
Risperidone	Risperdal	Oral; intramuscular injection	Schizophrenia, 4 to 8 mg/d	Approved in 1993	Schizophrenia	7.30/4 mg
			BD (mania), 1 to 6 mg/d	Approved in 2007		
Ziprasidone	Geodon	Oral; intramuscular injection	Schizophrenia, maximum of 80 mg	Approved in 2001	Schizophrenia and BD	4.75/40 mg
			BD (mania/mixed, maintenance), 40 to 80 mg			

BD = bipolar disorder; FDA = U.S. Food and Drug Administration.

\* Data obtained from references 157 and 158.

**Appendix Table 2. Ovid MEDLINE Search Strategy**

1 exp Schizophrenia/  
2 Schizophrenia, Catatonic/  
3 Schizophrenia, Disorganized/  
4 Schizophrenia, Paranoid/  
5 Psychotic Disorders/  
6 Schizotypal Personality Disorder/  
7 schizophreniform.tw.  
8 (schizoaffective or schizo-affective).tw.  
9 schizophren\$.mp.  
10 (dementia adj (praecox or precox)).tw.  
11 (delusional adj2 disorder\*).tw.  
12 ((negative or positive) adj syndrome\*).tw.  
13 hebephrenia.tw.  
14 exp Bipolar Disorder/  
15 (((bipolar or manic) adj2 (I or II or illness or disorder or psychos?S or depress\$) or mania\*).tw.  
16 (BPD or hypoman\$ or manic-depressive).tw.  
17 (BP 1 or BP 2 or BP I or BP II).tw.  
18 (cyclothym\$ or euthymic).tw.  
19 (acute adj2 mania).tw.  
20 (acute adj2 mixed adj episode\*).tw.  
21 (rapid-cycling adj5 bipolar).tw.  
22 (rapid adj2 cycling adj5 bipolar).tw.  
23 (mixed adj2 state\* adj3 bipolar).tw.  
24 or/1-23  
25 exp Antipsychotic Agents/  
26 exp Tranquilizing Agents/  
27 (neuroleptic adj2 (agent\* or drug\*)).tw.  
28 or/25-27  
29 ((first or 1st) adj generation adj antipsychotic\*).tw.  
30 chlorpromazine/  
31 50-53-3.rn.  
32 (Aminazin or Aminazine or Ampliaclil or BC 135 or Chlorpromazine or Chlorpromazinum or Clorpromazina or Chlor-Promanyl or Chlorpromados or Chlorderazin or Chlorpromazin or Contomin or Elmarin or Esmind or Fenactyl or HL 5746 or Largactil or Largactilothiazine or Megaphen or Largactyl or Klooripromatsiini or Klorpromazin or 6 Copin or Trinicalm Forte or Diminex Balsamico Juven Tos or Largatrex or Phenactyl or Proma or Promactil or Promazil or Prozil or Psychozine or Sanpron or Thorazine or Torazina or Wintermin).mp.  
33 Droperidol/  
34 548-73-2.rn.  
35 (Dehydrobenzoperidol or Dehydrobenzperidol or Deidrobenzperidolo or Dridol or Droleptan or Droperidol or Droperidolis or Droperidolum or Disifelit or Halkan or Inapsin or Inapsine or Inospin or Thalamonal or Nilperidol or Properidol or Sintodril or Vekalm).mp.  
36 fluphenazine/  
37 69-23-8.rn.  
38 (Dapotum or Elinol or Flufenazina or Fluofenazine or Fluphenazine or Fluorphenazine or Fluphenazinum or Ftorphenazine or Moditen or Pacinol or Sevinol or Squalon or Triflumethazine or Valamina or Vespazine).mp.  
39 haloperidol/  
40 52-86-8.rn.  
41 (Aldo or Aloperidin or Aloperidol or Aloperidolo or Brootopon or Dozic or Einalon S or Eukystol or Fortunan or Galoperidol or Haldol or Halojust or Halopal or Haloperidol or Haloperidoli or Haloperidolis or Haloperidolu or Halopidol or Serenace or Haloperidol or Haloper or Halperon or Keselan or Lealgin or Linton or Mixidol or Peluces or Pernox or Serenace or Serenefl or Sernas or Sernel or Serenase or Ulcolind or Uliolind or Vesalium).mp.  
42 loxapine/  
43 1977-10-2.rn.  
44 (Cloxazepine or CL 62362 or Dibenzacepin or Dibenzozepine or Hydrofluoride 3170 or LW 3170 or Lossapina or Loksapiini or Loxapin or Loxapina or Loxapine or Loxapinum or Oxilapine or Loxapac or SUM 3170 or Loxitane or Desconex).mp.  
45 perphenazine/  
46 58-39-9.rn.  
47 (Chlorperphenazine or Chlorpiprazine or Decentan or Emesinal or Etaperazin or Etaperazine or Ethaperazine or Etrafon or F-mon or Fentazin or Mutabon or Perfenazin or Perfenazina or Perfenzinas or Perfenzine or Perphenazin or Perphenazine or Perfenazyna or Perphenazinum or Pertriptyl or Sch 3940 or Thilatazin or Tranquisan or Trifarfon or Trilafon or Trilifan or Triptafen or Triphenot or Triavil).mp.  
48 Pimozide/  
49 2062-78-4.rn.  
50 (Antalon or Opiran or Orap or Pimotsidi or Pimozid or Pimozida or Pimozidas or Pimozide or Pimozydum or Pimozyd).mp.  
51 Prochlorperazine/  
52 58-38-8.rn.  
53 (Apo-Prochlorazine or Capazine or Chlormepazine or Compazine or Compro or Dhaperazine or Emeleent or Kronocin or Nipodal or Novamin or Nu-Prochlor or Meterazin or Meterazine or Mtil or Prochlorpemazine or Prochlorperazinum or Prochlorperazina or Proklooperatsiini or Prokloroperazin or Prorazin or Phenothiazine or Seratil or Stemetil or Tementil or Temetid).mp.  
54 thiothixene/  
55 5591-45-7.rn.  
56 (Navane or Navaron or Orbinamon or Thiothixene or Tiotikseeni or Tiotixen or Tiotixeno or Tiotixenum or Thixit or Tiotixene).mp.

*Continued on following page*

**Appendix Table 2—Continued**

57 trifluoperazine/  
58 117-89-5.rn.  
59 (Cuaït D or Cuaït N or eskazine or flupazine or Jatrosom or Jalonac or Parstelin or Parmodalin or stelazine or Stelabid or Stelapar or Sycot or  
Terfluzine or Trifluoperazine or Trifluoperazini Hydrochloridum or triflazin or Trinicalm Forte or Trinicalm Plus).mp.  
60 thioridazine/  
61 50-52-2.rn.  
62 (Aldazine or Dazithin or Detril or Elperil or Mallorol or Malloryl or Melleril or Mellaryl or Mellerets or Mellerette or Melleretten or Melleril  
or Sonapax or Thioridazin or Thioridazine or Thioridazinum or Tioridatsiini or Tioridazin or Tioridazina or Tioridazinas).mp.  
63 methotriimeprazine/  
64 60-99-1.rn.  
65 (Dedoran or Hirnamin or Hirnamine or Levomepromazine or Levomepromazin or Levomepromazina or Levopromazioni or Levomepromazinum or  
Levoprome or Levotonin or Mepromazine or Methotriimeprazine or Neurocil or Neozine or Nirvan or Nocinan or Momizan or Nozinane or  
Sinogan or Levolam or Nozinan or Sinogan or Tisercin or Veractil).mp.  
66 Phenothiazines/ad, to, tu, ct, po, ae [Administration & Dosage, Toxicity, Therapeutic Use, Contraindications, Poisoning, Adverse Effects]  
67 Butyrophenones/ad, to, tu, ct, po, ae  
68 Thioxanthenes/ad, to, tu, ct, po, ae  
69 Dibenzoxazepines/ad, to, tu, ct, po, ae  
70 Indoles/ad, to, tu, ct, po, ae  
71 or/29-70  
72 atypical antipsychotic\$.tw.  
73 ((second or 2nd) adj generation adj antipsychotic\*).tw.  
74 ((third or 3rd) adj generation adj antipsychotic\*).tw.  
75 Asenapine/  
76 65576-45-6.rn.  
77 (Asenapine or EINECS 265-829-4).mp.  
78 clozapine/  
79 5786-21-0.rn.  
80 (Clozapin or Clozapina or Clozapine or Clozapinum or Clorazil or Clozaril or FazaClo or Leponex or LX 100-129 or Zaponex).mp.  
81 risperidone/  
82 106266-06-2.rn.  
83 (Apexidone or Psychodal or Risperdal or Risperidona or Risperidone or Risperidonum or Risperin or Risperilept or Rispolin or Spiron).mp.  
84 olanzapine.mp.  
85 132539-06-1.rn.  
86 (Zyprexa or Olantsapiini or Olanzapin or Olanzapina or Olanzapinum or Olansek or Zalasta or Zypadhera or Symbyax).mp.  
87 quetiapine.mp.  
88 (111974-69-7 or 111974-72-2).rn.  
89 (Co-Quetiapine or HSDB 7557 or Seroquel).mp.  
90 ziprasidone.mp.  
91 146939-27-7.rn.  
92 (Zeldox or zeldrox or geodon).mp.  
93 aripiprazole.mp.  
94 129722-12-9.rn.  
95 (Abilitat or Ability or Aripiprazole or Discmelt or OPC 31 or OPC 14597).mp.  
96 paliperidone.mp.  
97 144598-75-4.rn.  
98 (9-Hydroxyrisperidone or Invega or R 76477 or RO76477).mp.  
99 Iloperidone/  
100 133454-47-4.rn.  
101 (Fanapt or Iloperidone or HP 873 or Zomaril).mp.  
102 Isoxazoles/ad, to, tu, ct, po, ae  
103 Dibenzazepines/ad, to, tu, ct, po, ae  
104 Pyrimidinones/ad, to, tu, ct, po, ae  
105 Piperidines/ad, to, tu, ct, po, ae  
106 Dibenzothiazepines/ct, ad, to, tu, ae, po  
107 Piperazines/ad, tu, to, ct, po, ae  
108 Pirenzepine/tu, ad, to, ct, po, ae  
109 Thiazoles/ad, th, ct, po, to, ae  
110 Quinolones/to, po, ct, ad, tu, ae  
111 or/72-110  
112 and/71,111  
113 and/28,71,111  
114 or/112-113  
115 randomized controlled trial.pt.  
116 controlled clinical trial.pt.  
117 randomi?ed.ab.  
118 placebo\*.ab.  
119 drug therapy.fs.  
120 randomly.ab.

*Continued on following page*

**Appendix Table 2—Continued**

121 trial.ab.  
 122 groups.ab.  
 123 or/115-122  
 124 humans/ not (animals and humans).hw,sh.  
 125 123 and 124  
 126 and/24,114,125  
 127 limit 126 to yr= "1987–2010"  
 128 limit 127 to english language  
 129 limit 126 to yr= "1950–1986"  
 130 limit 129 to english language  
 131 cohort studies/  
 132 followup studies/  
 133 longitudinal studies/  
 134 prospective studies/  
 135 Retrospective Studies/  
 136 (observation\$ or prospectiv\$ or retrospectiv\$ or cohort\$ or control\$ or volunteer\$ or evaluat\$ or compar\$ or longitudinal or long term or long-term or longterm or followup or followup or followup).mp. and (study or studies or trial\$).ti,ab,sh.  
 137 or/131-136  
 138 humans.hw,sh.  
 139 and/137-138  
 140 meta-analysis.mp,pt.  
 141 review.pt.  
 142 search:.tw.  
 143 or/140-142  
 144 and/24,114,139  
 145 and/24,114,143  
 146 limit 145 to yr= "1987–2010"  
 147 limit 146 to english language  
 148 limit 145 to yr= "1950–1986"  
 149 limit 148 to english language  
 150 limit 144 to yr= "1987–2010"  
 151 limit 150 to english language  
 152 limit 144 to yr= "1950–1986"  
 153 limit 152 to english language

**Appendix Table 3. Inclusion and Exclusion Criteria**

Characteristic	Inclusion Criteria	Exclusion Criteria
Publication type	English language, full-text publications from 1950 to present	Non–English-language publications; conference abstracts
Study design	RCTs, non-RCTs, and prospective and retrospective cohort studies	Observational design with no comparison group (e.g., case reports, case series, and cross-sectional studies); case–control studies
Participants	Adults (aged 18 to 64 y) with schizophrenia or related psychoses	Pediatric population (aged <18 y); geriatric population (aged >64 y)
Interventions	Any available FDA-approved FGA	Unavailable or non-FDA-approved FGA or other interventions
Comparators	Any available FDA-approved SGA	Unavailable or non-FDA-approved SGA, placebo, or other interventions
Outcomes	Outcomes listed in the KQ; cohort studies reporting on $\geq 1$ SAE	No a priori–identified outcomes available from the trial report or communication with the study’s corresponding author
Timing	All follow-up periods for trials; cohort studies with $\geq 2$ -y follow-up	Cohorts with <2-y follow-up
Setting	All settings	–

FDA = U.S. Food and Drug Administration; FGA = first-generation antipsychotic; KQ = key question; RCT = randomized, controlled trial; SAE = serious adverse event; SGA = second-generation antipsychotic.

**Appendix Table 4. Examples of Core Symptoms\***

Symptom Domain	Example
Negative	Delusions Conceptual disorganization Hallucinatory behavior
Positive	Blunted affect Emotional withdrawal Poor rapport Passive/apathetic social withdrawal
General	Anxiety Depression Motor retardation Disorientation Poor attention Disturbance of volition Active social avoidance

\* Based on the Positive and Negative Syndrome Scale (159).

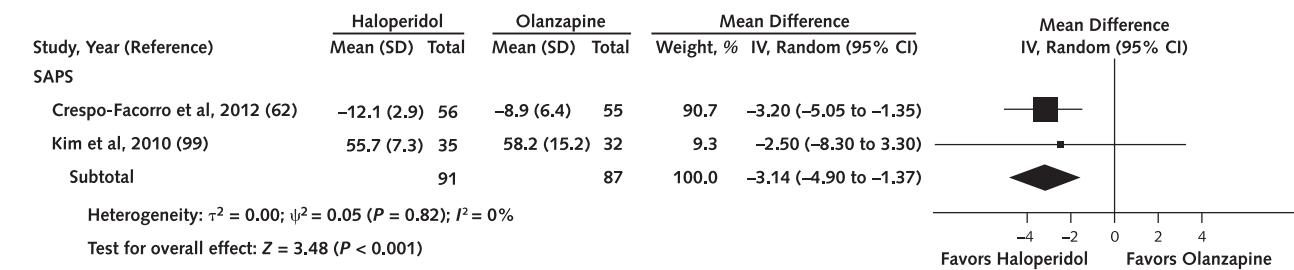
**Appendix Table 5. Summary of Insufficient Strength of Evidence for Core Illness Symptoms When the PANSS Was Used**

Variable and Comparison	Studies (Participants), n (n)	Risk of Bias	Consistency	Directness	Precision	Effect Estimate (95% CI)	Favored Drug	Strength of Evidence
<b>Positive symptoms</b>								
Chlorpromazine vs. clozapine	1 (40)	Medium	Unknown	Direct	Imprecise	2.00 (-0.79 to 4.79)	–	Insufficient
Fluphenazine vs. olanzapine	1 (60)	Medium	Unknown	Direct	Precise	5.10 (0.57 to 9.63)*	Olanzapine	Insufficient
Haloperidol vs. asenapine	1 (335)	Medium	Unknown	Direct	Imprecise	0.16 (-1.22 to 1.54)	–	Insufficient
Perphenazine vs. olanzapine	1 (597)	Medium	Unknown	Direct	Precise	1.47 (0.55 to 2.40)*	Olanzapine	Insufficient
Perphenazine vs. quetiapine	1 (598)	Medium	Unknown	Direct	Imprecise	-0.92 (-1.93 to 0.05)	–	Insufficient
Perphenazine vs. risperidone	1 (602)	Medium	Unknown	Direct	Imprecise	-0.06 (-1.04 to 0.93)	–	Insufficient
Perphenazine vs. ziprasidone	1 (446)	Medium	Unknown	Direct	Imprecise	-0.85 (-2.05 to 0.35)	–	Insufficient
<b>Negative symptoms</b>								
Fluphenazine vs. olanzapine	1 (60)	Medium	Unknown	Direct	Imprecise	3.00 (-1.00 to 7.00)	–	Insufficient
Haloperidol vs. asenapine	1 (335)	Medium	Unknown	Direct	Imprecise	0.39 (-0.72 to 1.51)	–	Insufficient
Perphenazine vs. olanzapine	1 (597)	Medium	Unknown	Direct	Imprecise	0.43 (-0.55 to 1.41)	–	Insufficient
Perphenazine vs. quetiapine	1 (598)	Medium	Unknown	Direct	Imprecise	-0.70 (-1.66 to 0.25)	–	Insufficient
Perphenazine vs. risperidone	1 (602)	Medium	Unknown	Direct	Imprecise	-0.87 (-1.85 to 0.11)	–	Insufficient
Perphenazine vs. ziprasidone	1 (446)	Medium	Unknown	Direct	Imprecise	-0.97 (-2.05 to 0.10)	–	Insufficient
<b>Total score</b>								
Chlorpromazine vs. clozapine	1 (40)	Medium	Unknown	Direct	Imprecise	12.00 (-4.48 to 28.5)	–	Insufficient
Fluphenazine vs. olanzapine	1 (60)	Medium	Unknown	Direct	Precise	16.20 (1.22 to 31.18)*	Olanzapine	Insufficient
Haloperidol vs. asenapine	1 (335)	Medium	Unknown	Direct	Imprecise	0.23 (-2.50 to 2.95)	–	Insufficient
Perphenazine vs. olanzapine	1 (597)	Medium	Unknown	Direct	Precise	-4.59 (-7.42 to -1.77)*	Perphenazine	Insufficient
Perphenazine vs. aripiprazole	1 (300)	Medium	Unknown	Direct	Imprecise	-0.70 (-5.61 to 4.21)	–	Insufficient
Perphenazine vs. quetiapine	1 (598)	Medium	Unknown	Direct	Imprecise	1.52 (-1.36 to 4.41)	–	Insufficient
Perphenazine vs. risperidone	1 (602)	Medium	Unknown	Direct	Imprecise	0.17 (-2.84 to 3.19)	–	Insufficient
Perphenazine vs. ziprasidone	1 (446)	Medium	Unknown	Direct	Imprecise	2.23 (-1.15 to 5.61)	–	Insufficient
<b>General psychopathology</b>								
Chlorpromazine vs. clozapine	1 (40)	Medium	Unknown	Direct	Imprecise	5.00 (-3.68 to 13.68)	–	Insufficient
Fluphenazine vs. olanzapine	1 (60)	Medium	Unknown	Direct	Precise	8.20 (0.83 to 15.57)*	Olanzapine	Insufficient
Haloperidol vs. aripiprazole	1 (99)	Medium	Unknown	Direct	Imprecise	-1.60 (-5.28 to 2.08)	–	Insufficient
Haloperidol vs. asenapine	1 (335)	Medium	Unknown	Direct	Imprecise	0.26 (-1.59 to 2.10)	–	Insufficient
Perphenazine vs. olanzapine	1 (597)	Medium	Unknown	Direct	Precise	2.17 (0.66 to 3.68)*	Olanzapine	Insufficient
Perphenazine vs. ziprasidone	1 (446)	Medium	Unknown	Direct	Precise	-1.92 (-3.69 to -0.15)*	Perphenazine	Insufficient
Perphenazine vs. quetiapine	1 (598)	Medium	Unknown	Direct	Imprecise	-0.54 (-2.09 to 1.01)	–	Insufficient
Perphenazine vs. risperidone	1 (602)	Medium	Unknown	Direct	Imprecise	0.24 (-1.38 to 1.86)	–	Insufficient

PANSS = Positive and Negative Syndrome Scale.

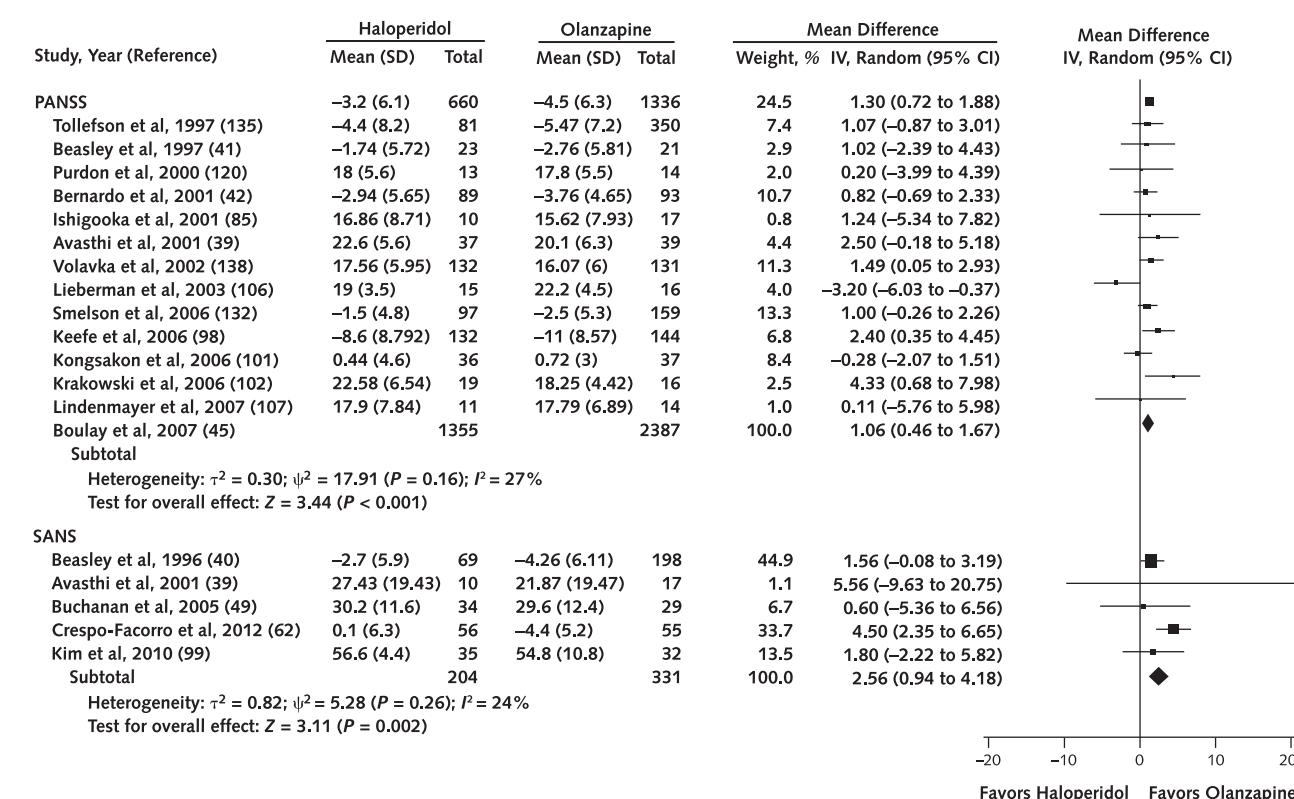
\* Statistically significant result.

Appendix Figure 1. Positive symptoms (SAPS): haloperidol versus olanzapine.



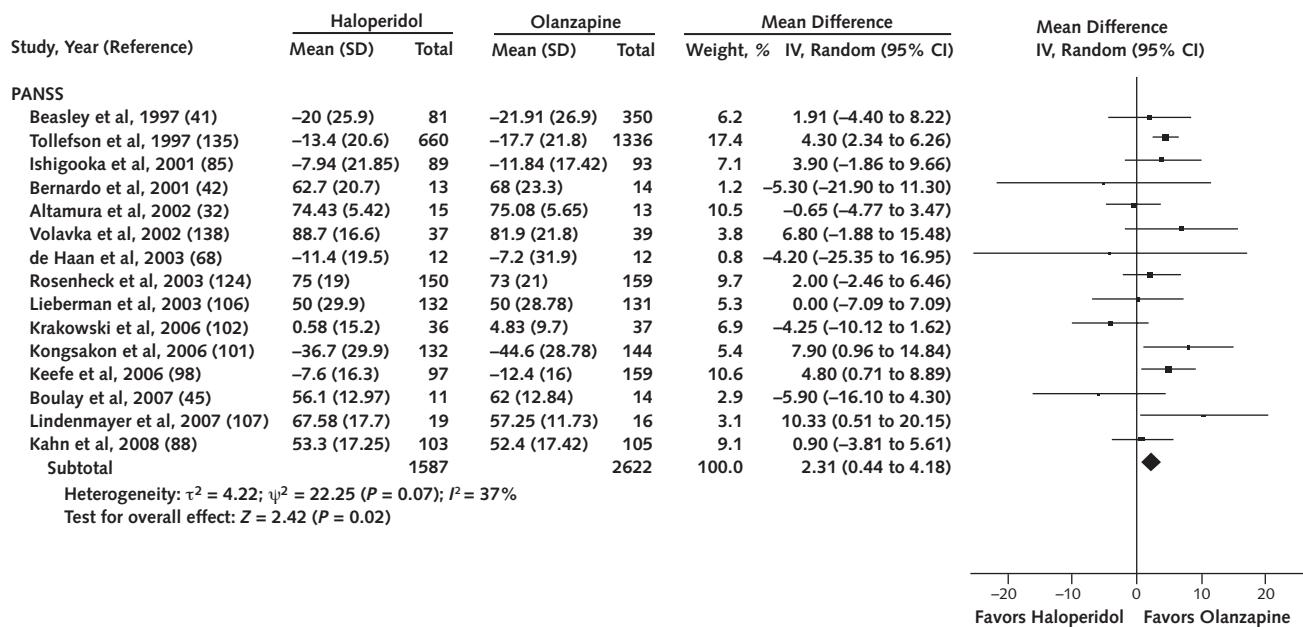
IV = inverse variance; SAPS = Scale for the Assessment of Positive Symptoms.

Appendix Figure 2. Negative symptoms (PANSS and SANS): haloperidol versus olanzapine.



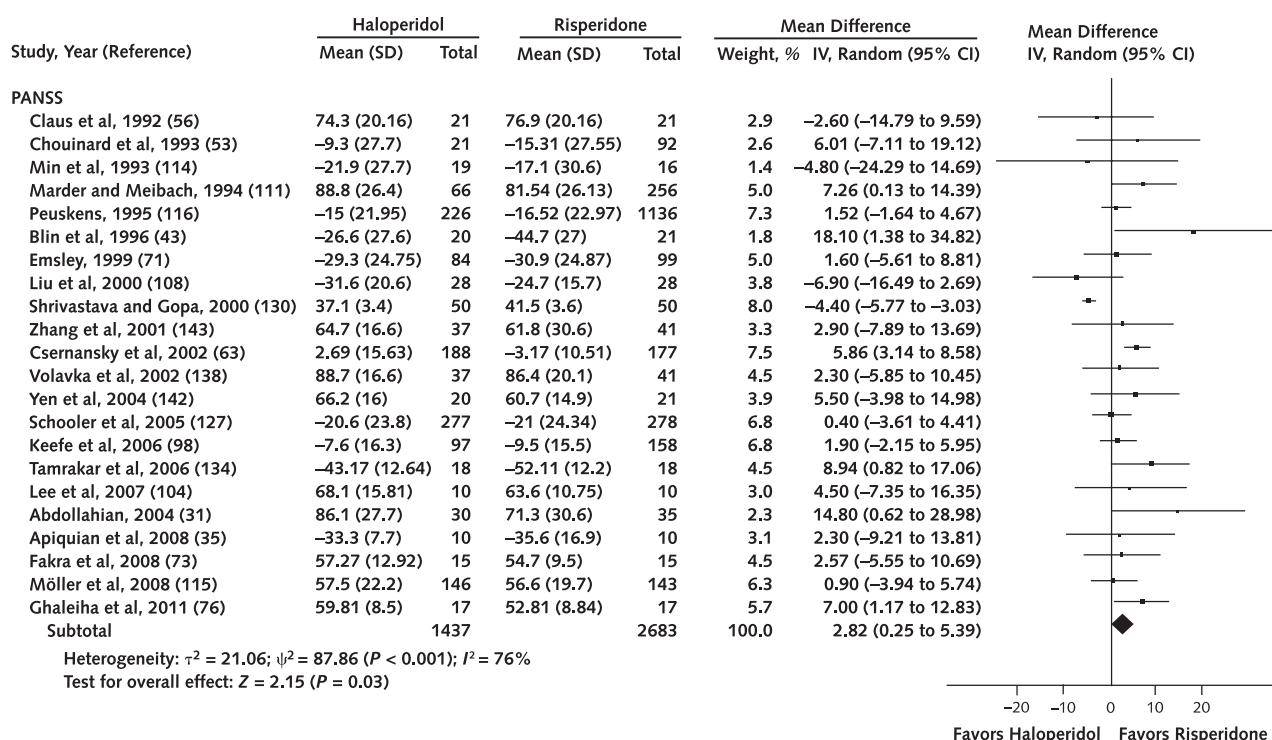
IV = inverse variance; PANSS = Positive and Negative Syndrome Scale; SANS = Scale for the Assessment of Negative Symptoms.

Appendix Figure 3. Global rating and total symptom score improvement (PANSS): haloperidol versus olanzapine.



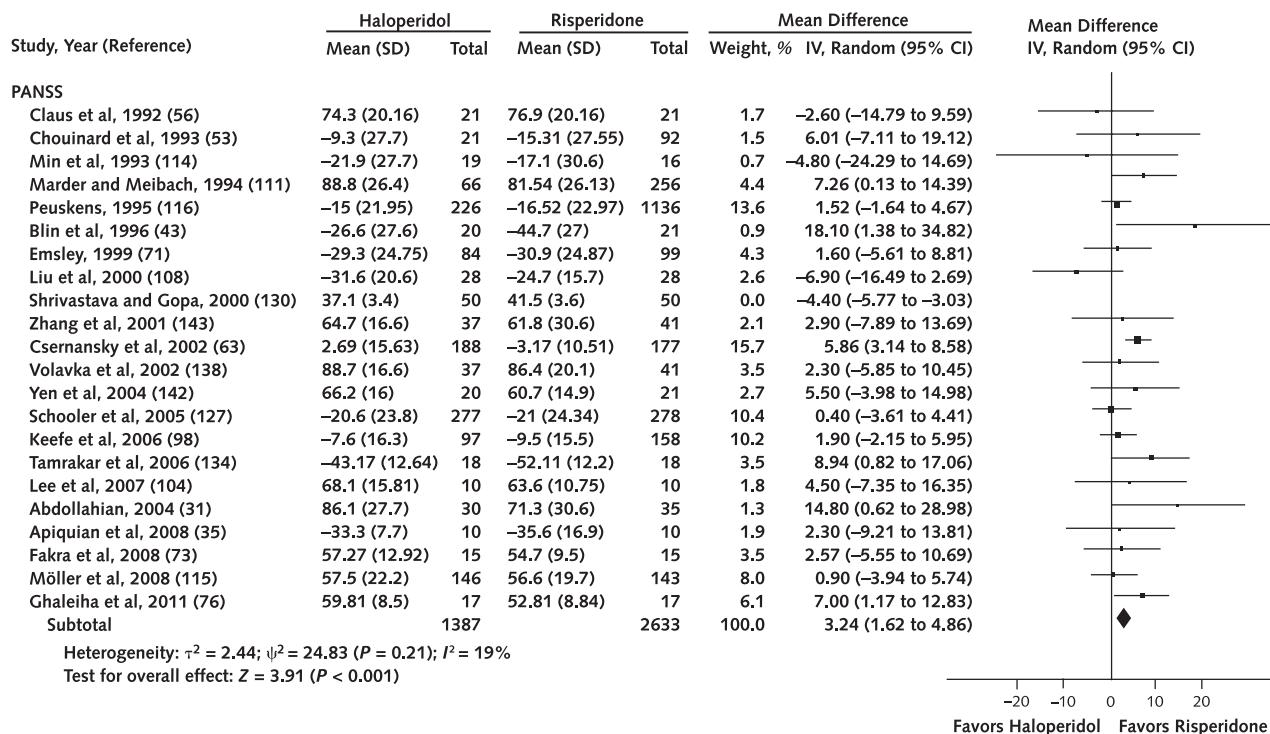
IV = inverse variance; PANSS = Positive and Negative Syndrome Scale.

Appendix Figure 4. Global rating and total symptom score improvement (PANSS): haloperidol versus risperidone (with outlier).



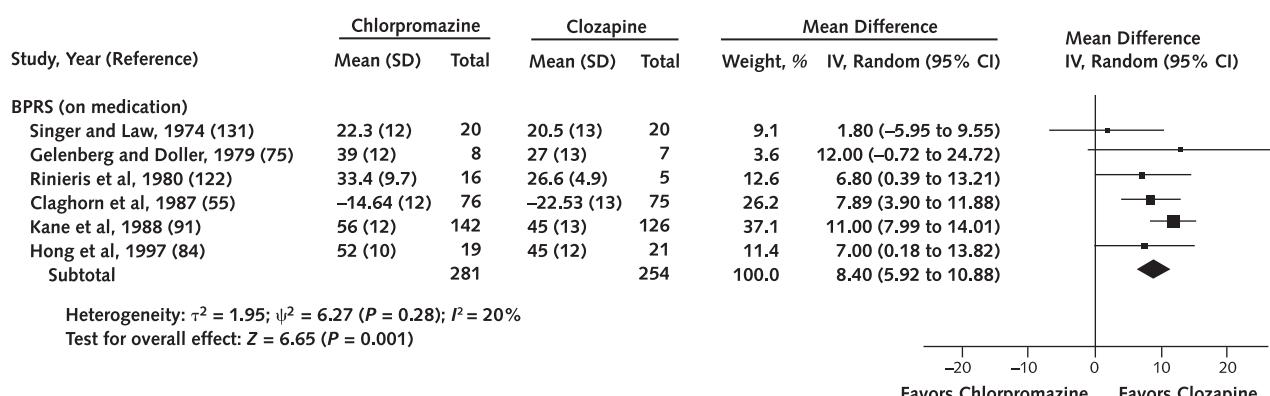
IV = inverse variance; PANSS = Positive and Negative Syndrome Scale.

Appendix Figure 5. Global rating and total symptom score improvement (PANSS): haloperidol versus risperidone (outlier removed).



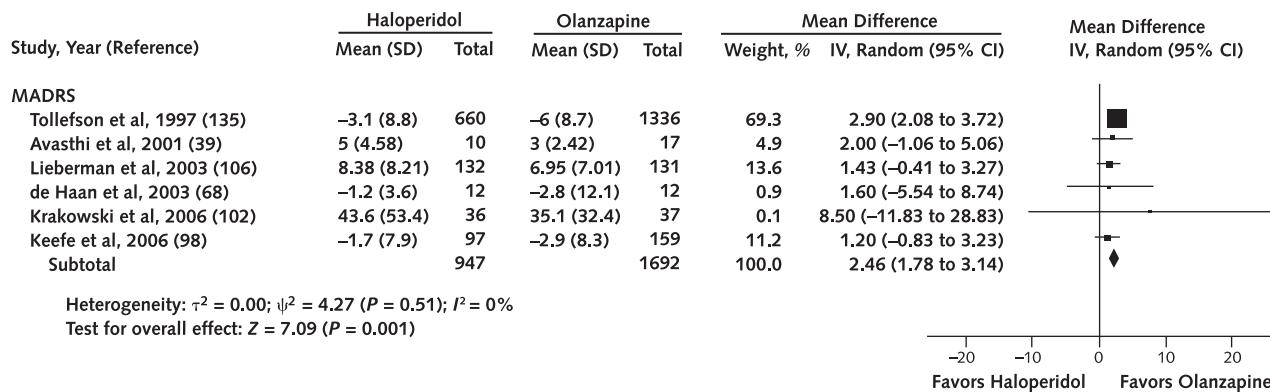
IV = inverse variance; PANSS = Positive and Negative Syndrome Scale.

Appendix Figure 6. Global rating and total symptom score improvement (BPRS): chlorpromazine versus clozapine.



BPRS = Brief Psychiatric Rating Scale; IV = inverse variance.

**Appendix Figure 7. General psychopathology (MADRS): haloperidol versus olanzapine.**



IV = inverse variance; MADRS = Montgomery–Asberg Depression Rating Scale.

*Appendix Table 6. Summary of Results for 4 Key Adverse Events With Insufficient Strength of Evidence*

Adverse Event and Comparison	Study Design	Study Duration	Events/Participants, n/N	Events/Participants, n/N	Risk Difference (95% CI)	Risk Ratio (95% CI)	Risk of Bias	Consistency	Directness	Precision	Favored Drug
<b>Diabetes mellitus</b>											
Haloperidol vs. olanzapine	RCT	6 wk	3/31	4/35	-0.02 (-0.17 to 0.13)	0.85 (0.21 to 3.49)	Medium	Unknown	Direct	Imprecise	-
Perphenazine vs. olanzapine	RCT	18 mo	17/261	27/336	-0.02 (-0.06 to 0.03)	0.81 (0.45 to 1.45)	Medium	Unknown	Direct	Imprecise	-
Perphenazine vs. quetiapine	RCT	18 mo	17/261	14/337	0.02 (-0.01 to 0.06)	1.57 (0.79 to 3.12)	Medium	Unknown	Direct	Imprecise	-
Perphenazine vs. risperidone	RCT	18 mo	17/261	21/341	0.00 (-0.04 to 0.04)	1.06 (0.57 to 1.96)	Medium	Unknown	Direct	Imprecise	-
Perphenazine vs. ziprasidone	RCT	18 mo	17/261	12/185	0.00 (-0.05 to 0.05)	1.00 (0.49 to 2.05)	Medium	Unknown	Direct	Imprecise	-
<b>The metabolic syndrome</b>											
Haloperidol vs. clozapine	RCT	12 wk	4/36	15/37	-0.29 (-0.48 to -0.11)*	0.27 (0.10 to 0.75)*	Medium	Unknown	Direct	Precise	Haloperidol
Perphenazine vs. olanzapine	RCT	18 mo	49/261	72/336	-0.03 (-0.09 to 0.04)	0.88 (0.63 to 1.21)	Medium	Unknown	Direct	Imprecise	-
Perphenazine vs. quetiapine	RCT	18 mo	49/261	53/337	0.03 (-0.03 to 0.09)	1.19 (0.84 to 1.70)	Medium	Unknown	Direct	Imprecise	-
Perphenazine vs. risperidone	RCT	18 mo	49/261	45/341	0.06 (-0.00 to 0.12)	1.42 (0.98 to 2.06)	Medium	Unknown	Direct	Imprecise	-
Perphenazine vs. ziprasidone	RCT	18 mo	49/261	23/185	0.06 (-0.00 to 0.13)	1.51 (0.96 to 2.39)	Medium	Unknown	Direct	Imprecise	-
<b>Death</b>											
Chlorpromazine vs. ziprasidone	RCT	12 wk	0/154	0/152	0.00 (-0.01 to 0.01)	NE	Medium	Unknown	Direct	Imprecise	-
Haloperidol vs. clozapine	Cohort	Duration of prescription	235/41 295	24/8330	0.00 (0.00 to 0.00)	1.98 (1.30 to 3.00)*	Medium	Unknown	Direct	Imprecise	Clozapine
Haloperidol vs. risperidone	Cohort	Duration of prescription	235/41 295	74/22 057	0.00 (0.00 to 0.00)	1.70 (1.31 to 2.20)*	Medium	Unknown	Direct	Imprecise	Risperidone
Haloperidol vs. ziprasidone	RCT	2 to 3 d	0/27	0/31	0.00 (-0.07 to 0.07)	NE	Medium	Unknown	Direct	Imprecise	-
Thioridazine vs. clozapine	Cohort	Duration of prescription	146/23 950	24/8330	0.00 (0.00 to 0.00)	2.12 (1.38 to 3.26)*	Medium	Unknown	Direct	Imprecise	Clozapine
Thioridazine vs. risperidone	Cohort	Duration of prescription	146/23 950	74/22 057	0.00 (0.00 to 0.00)	1.82 (1.37 to 2.40)*	Medium	Unknown	Direct	Imprecise	Risperidone
<b>Tardive dyskinesia</b>											
Chlorpromazine vs. ziprasidone	RCT	12 wk	16/154	13/152	0.02 (-0.05 to 0.08)	1.21 (0.61 to 2.44)	Medium	Unknown	Direct	Imprecise	-
Haloperidol vs. clozapine	Cohort	22 y	14/152	0/181	0.09 (0.05 to 0.14)*	34.50 (2.07 to 573.55)*	Medium	Unknown	Direct	Precise	Clozapine
Haloperidol vs. olanzapine	RCT	12 wk	5/219	0/234	0.02 (0.00 to 0.04)	11.75 (0.65 to 211.26)	Medium	Unknown	Direct	Imprecise	-
Haloperidol vs. ziprasidone	RCT	28 wk	2/153	0/148	0.01 (-0.01 to 0.04)	4.84 (0.23 to 99.93)	Medium	Unknown	Direct	Imprecise	-

NE = not estimable; RCT = randomized, controlled trial.

\* Statistically significant result.